

#3
Sector/10X

**RESPONSE TO NOTICE TO FILE
MISSING PARTS**



Title: **DISC CARTRIDGE AND DISC DRIVE APPARATUS**

Pursuant to 37 C.F.R. § 1.53(f) and in response to the U.S. Patent and Trademark Office Notice to File Missing Parts of Nonprovisional Application mailed November 30, 2001 for the above-identified application, enclosed are the following:

- RETURN COPY of Notice to File Missing Parts of Nonprovisional Application
- Executed Combined Declaration/Power of Attorney executed by the inventor for completing the missing parts of the subject application. The undersigned registered attorney states that the subject application is the application which the inventor executed by signing the attached Declaration.
- Formal Drawings (Sheets; Figs)
- English-language translation of application (with Translator's Statement (pages))
- Verified Statement Claiming Small Entity Status

Enclosed is a payment of the following:

<input checked="" type="checkbox"/> The filing fee as set forth in 37 C.F.R. § 1.16(a)	\$ 740.00
<input checked="" type="checkbox"/> The additional claim(s) fee (38 claims over 20)	\$ 684.00
<input checked="" type="checkbox"/> The additional independent claim(s) fee (5 claims over 3)	\$ 420.00
<input type="checkbox"/> Multiple dependent claims	\$
<input type="checkbox"/> Petition for Extension of Time (<u> </u> -month)	\$
<input type="checkbox"/> English language translation fee	\$
<input checked="" type="checkbox"/> Surcharge as set forth in 37 C.F.R. § 1.16(e)	\$130.00
SUBTOTAL FEES:	\$1974.00
<input type="checkbox"/> Reduction by 50% for filing by small entity (37 CFR 1.27)	.00
SUBTOTAL FEES:	\$1974.00
TOTAL FEES DUE:	\$1974.00

Since the Notice to File Missing Parts of Nonprovisional Application set an original due date of _____, petition is hereby made for an extension to cover the date this reply is filed for which the requisite fee is enclosed (1 month (\$110)); (2 months (\$400)); (3 months (\$920)); (4 months (\$1,440)); (5 months (\$1,960));

METHOD OF PAYMENT

Check enclosed as payment.
 Charge "TOTAL FEES DUE" to the Deposit Account No. 19-3935, below.

AUTHORIZATION

If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby authorized to credit any overpayment or charge any additional fees under 37 CFR 1.16 or 1.17 necessary to maintain pendency of the present application to:

Deposit Account No.: 19-3935

Deposit Account Name: STAAS & HALSEY LLP

SUBMITTED BY: STAAS & HALSEY LLP

Typed Name	Michael D. Stein	Reg. No.	37,240
Signature		Date	1/23/02



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/986,982	11/13/2001	Sun-mo Kim	1293.1272

21171
 STAAS & HALSEY LLP
 700 11TH STREET, NW
 SUITE 500
 WASHINGTON, DC 20001

CONFIRMATION NO. 6017
 FORMALITIES LETTER



OC000000007140242

Date Mailed: 11/30/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1104.
 - \$684 for 38 total claims over 20.
 - \$420 for 5 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1974.**

OP OP OP

01/23/2002 NRCHA1 00000083 09386982

01 FC:101
02 FC:102
03 FC:103
04 FC:105

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE